

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IAP5 Rec'd PCT/PTO 27 SEP 2006

In re patent application of

Hiroyuki YAMAZAKI

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith

Examiner: Not Yet Assigned

For: **TUNABLE LASER**Honorable Commissioner of Patents
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

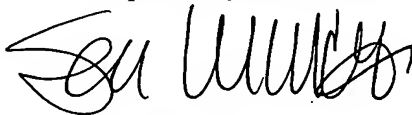
Under the provisions of 37 CFR §1.97 through §1.98 and pursuant to applicants duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449, all of which are cited in the International Search Report (PCT Form PCT/ISA/210) to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for foreign language documents, English-language Abstracts are attached to the references, and the relevance of some of these references is described on page 4 of the Application.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Sean M. McGinn, Esq.
Registration No. 34,386Date: 9/27/06
**MCGINN INTELLECTUAL PROPERTY
LAW GROUP, PLLC**8321 Old Courthouse Road, Suite 200
Vienna, Virginia 22182-3817
(703) 761-4100
Customer No. 21254

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional) NE353PCT(US)	Application Number Not Yet Assigned
Applicant(s) Hiroyuki YAMAZAKI	10/594307
Filing Date 4/15/2001	Group Art Unit 207 Y 4 ASB
AP5 Rec'd PCT/PTO 27 SEP 2006	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,052,222	04/18/2000	KITAMURA			
		6,091,744	06/18/2000	SORIN, et al.			

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		EP 0 851 548 A1	07/01/1998	EUROPE				
		EP 0 930 679 A2	07/21/1999	EUROPE				
		63-281104	11/17/1988	JAPAN			ABS	
		5-347452	12/27/1993	JAPAN			ABS	
		2000-277849	10/06/2000	JAPAN			ABS	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

NE353-PCT(US)

Application Number

Not Yet Assigned

Applicant(s)

Hiroyuki YAMAZAKI

10/594307

Filing Date

Concurrent with PCT/PTO 27 SEP 2006

Group Art Unit

Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		11-191656	07/13/1999	JAPAN			ABS	
		11-251690	09/17/1999	JAPAN			ABS	
		2002-164614	06/07/2002	JAPAN			ABS	
		62-100706	05/11/1987	JAPAN			ABS	
		2-252279	10/11/1990	JAPAN			ABS	

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

1AP5 Rec'd PCT/PTO 27 SEP 2006

Docket Number (Official) **NE353-PCT(US)** Publication Number **Not Yet Assigned**

Applicant(s) **Hiroyuki YAMAZAKI** **10/594307**

Filing Date **Concurrently Herewith** Group Art Unit **Not Yet Assigned**

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		3-54529	03/08/1991	JAPAN			ABS	
		10-261837	09/29/1998	JAPAN			ABS	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Kourou KOBAYASHI, "Optical-Integrated Device", Kyoritsu Shuppan Co., Ltd. second impression of the first edition, pp. 104-122, December 25, 2000

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.